

Annexure I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: **OCL IRON AND STEEL LIMITED**
2. Quarter ended: **SEPTEMBER 30, 2016**

I. Composition of Board of Directors								
Title (Mr./ Mrs.)	Name of Director	PAN ^s & DIN	Category (Chairperson / Executive / Non-Executive / Independent / Nominee)	Date of Appointment in the current term / cessation	Tenure* (yrs)	No. of Directorship in listed entities including this listed entity	No. of membership in Audit / Stakeholder Committee(s) including this listed entity	No. of post of chairperson in Audit / Stakeholder Committee held in listed entities including this listed entity
Mr	Yogesh Kapur	ABDPK1902D & 00014385	Independent	11/03/2015	5	1	NIL	2
Mr	Birendra Jee	ACLPB7845B & 07165726	Executive	23/04/2015	3	1	1	NIL
Mr	Sanjay Tiku	ACEPT2009A & 00300566	Independent	11/03/2015	5	3	3	1
Mr	Vinod kumar Uppal	AACPU2753L & 00897121	Non Executive	10/07/2012	-	3	3	NIL
Ms	Ankita wadhawan	AAOPW4556F & 06971383	Independent	31/03/2015	5	7	NIL	NIL
<p>\$PAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive /non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period</p>								
II. Composition of Committees								
Name of Committee			Name of Committee Members			Category (Chairperson/Executive/Non-Executive/Independent/Nominee)&		
Audit Committee			Mr. Yogesh Kapur Mr. Sanjay Tiku Mr. Vinod kumar Uppal			Independent Independent Non Executive		
Nomination & Remuneration Committee			Mr. Sanjay Tiku Mr. Yogesh kapur Mr. Vinod kumar uppal			Independent Independent Non Executive		
Stakeholders Relationship Committee			Mr. Yogesh kapur Mr. Birendra Jee			Independent Executive		
&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen								
III. Meeting of Board of Directors								
Date(s) of Meeting (if any) in the previous quarter			Date (s) of Meeting (if any) in the relevant quarter			Maximum gap between any two consecutive (in number of days)		
04.06.2016			15.07.2016			40 days		

	06.08.2016	21 days
	14.09.2016	38 days

IV. Meeting of Committees (Audit Committee)

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
14.09.2016	Yes	27.05.2016	109 days

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

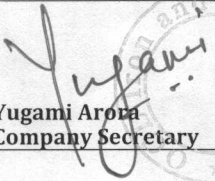
V. Related Party Transactions

Subject	Compliance Status (Yes/No/NA)
Whether prior approval of audit committee obtained	N.A.
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	N.A.

- Note**
- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
 - If status is 'No' details of non compliance may be given here.

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. -YES
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee-YES
 - Nomination & remuneration committee-YES
 - Stakeholders relationship committee-YES
 - Risk management committee (applicable to the top 100 listed entities)-NA
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.-YES
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.-YES
- This report and/or the report submitted in the previous quarter have been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:-YES


 Yugami Arora
 Company Secretary

ANNEXURE III

Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year

I Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA)^{refer note below}
<i>Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website</i>	46(2)	Yes
<i>Presence of Chairperson of Audit Committee at the Annual General Meeting</i>	18(1)(d)	Yes
<i>Presence of Chairperson of the nomination and remuneration committee at the annual general meeting</i>	19(3)	No, One Member of Nomination and Remuneration Committee was present at the annual general meeting to answer the shareholder's queries.
<i>Whether "Corporate Governance Report" disclosed in Annual Report</i>	34(3) read with para C of Schedule V	Yes

Note

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.
3. If the Listed Entity would like to provide any other information the same may be indicated here.

Yugami Arora
(Company Secretary)

